

(19)



Europäisches Patentamt

Eur pean Patent Office

Office eur péen des brevets



(11)

EP 0 726 321 A2

(12)

EUROPEAN PATENT APPLICATION

(43) Date of publication:
14.08.1996 Bulletin 1996/33

(21) Application number: 96200072.5

(22) Date of filing: 16.01.1996

(51) Int. Cl.⁶: C12P 7/64, A23L 1/28,
A61K 31/20, A61K 31/23,
A61K 35/70

(84) Designated Contracting States:
AT BE CH DE DK ES FR GB GR IE IT LI LU MC NL
PT SE

(30) Priority: 24.01.1995 US 377766

(71) Applicant: OMEGATECH, INC.
Boulder, CO 80301 (US)

(72) Inventor: Barclay, William R.
Boulder, Colorado 80303 (US)

(74) Representative: Wharton, Peter Robert
Urquhart-Dykes & Lord
Inventions House
Valley Court, Canal Road
Bradford BD1 4SP (GB)

(54) Method for production of arachidonic acid

(57) The present invention relates to a process for producing arachidonic acid. In one embodiment, *Mortierella sect. schmuckeri* micro organisms are cultured in fermentation medium, preferably containing a component of a complex nitrogen source. Further disclosed is a food product which includes *Mortierella sect. schmuckeri* micro organisms or lipid isolated from such micro organisms to enhance the arachidonic acid content of the food product.

EP 0 726 321 A2

Description

FIELD OF THE INVENTION

5 The present invention relates to a process for production of arachidonic acid. The present invention also relates to a food product and a method to make the food product containing such arachidonic acid.

BACKGROUND OF THE INVENTION

10 Arachidonic acid (all-cis-5,8,11,14-eicosatetraenoic acid) is a polyunsaturated fatty acid (PUFA) containing 20 carbon atoms with four double bonds. The double bonds are arranged with the last one located six carbon atoms from the methyl end of the chain. Therefore, arachidonic acid is referred to as an omega-6 fatty acid. Arachidonic acid is one of the most abundant C₂₀ PUFA's in the human body. It is particularly prevalent in organ, muscle and blood tissues. Arachidonic acid is a direct precursor for a number of circulating eicosenoids, such as prostaglandins, thromboxanes, leukotrienes and prostacyclins, which are important biological regulators. These eicosenoids exhibit regulatory effects on lipoprotein metabolism, blood rheology, vascular tone, leukocyte function, platelet activation and cell growth. The application of arachidonic acid to an infant's diet is particularly important due to the rapid body growth of an infant. Arachidonic acid is an important precursor to many of the eicosanoids which regulate cellular metabolism and growth in infants. It is found naturally in human breast milk but not in most infant formula. In an effort to have infant formula match the long chain fatty acid profile found in breast milk, scientific and food regulatory bodies have recommended that arachidonic acid be added to infant formula, especially in formula utilized for premature infants.

In particular, it is preferable that arachidonic acid containing oil produced for use with infant formula contain little or no other long chain highly unsaturated fatty acids (e.g., eicosapentanoic acid). Such other long chain highly unsaturated fatty acids are not preferred because some of these fatty acids can interfere with the utilization of arachidonic acid by the infant, and/or can inhibit blending of the arachidonic acid-containing oil with other oils to achieve the appropriate ratio of fatty acids matching breast milk or other desired applications. Highly unsaturated fatty acids are defined as fatty acids containing 4 or more double bonds.

Traditional sources of arachidonic acid include poultry eggs, bovine brain tissue, pig adrenal gland, pig liver and sardines. The yield of arachidonic acid, however, is usually less than 0.2% on a dry weight basis. The use of microorganisms capable of producing arachidonic acid *de novo* have been suggested by various investigators, including Kyle, PCT Publication No. WO 92/13086, published August 6, 1992; Shinmen et al., U.S. Patent No. 5,204,250, issued April 20, 1993; Shinmen et al., pp. 11-16, 1989, *Appl. Microbiol. Biotechnol.*, vol. 31; Totani et al., pp. 1060-1062, 1987, *LIPIDS*, vol. 22; Shimizu et al., pp. 509-512, 1992, *LIPIDS*, vol. 27; Shimizu et al., pp. 342-347, 1989, *JAACS*, vol. 66; Shimizu et al., pp. 1455-1459, 1988, *JAACS*, vol. 65; Shimizu et al., pp. 254-258, 1991, *JAACS*, vol. 68; Sajbidor et al., pp. 455-456, 1990, *Biotechnology Letters*, vol. 12; Bajpai et al., pp. 1255-1258, 1991, *Appl. Environ. Microbiol.*, vol. 57; Bajpai, pp. 775-780, 1991, *JAACS*, vol. 68; and Gandhi et al., pp. 1825-1830, 1991, *J. Gen. Microbiol.*, vol. 137. The arachidonic acid productivity by the microorganisms disclosed by prior investigators, however, is less than 0.67 grams per liter per day. Such amounts are significantly less than the amounts of arachidonic acid produced by the microorganisms of the present invention. These lower productivity values are the result of employing strains: (1) with slow growth or lipid production rates leading to long fermentation times (i.e., greater than 2-3 days) (Kyle, 1992, *ibid.*; Shinmen et al., 1993, *ibid.*; Shinmen et al., 1989, *ibid.*; Bajpai et al., 1991, *ibid.*; Bajpai, *ibid.*; and Gandhi et al., *ibid.*); and/or (2) that contain low arachidonic acid contents (expressed as % fatty acids) in the final oil produced (Shinmen et al., 1993, *ibid.*; Shimizu et al., 1989, *ibid.*; and Kendrick and Ratledge, 1992, pp. 15-20, *Lipids*, vol. 27); and/or (3) which require long periods of stress (i.e., aging a biomass for 6-28 days) to achieve high levels of arachidonic acid in a biomass (Bajpai et al., 1991, *ibid.* and Shinmen et al., 1989, *ibid.*); and/or (4) that only exhibit high arachidonic acid content in non-commercial growth conditions (e.g., malt agar plates) (Totani and Oba, 1987, pp. 1060-1062, *Lipids*, vol. 22). In addition, non-*Mortierella schmuckeri* microorganisms that have been proposed for producing arachidonic acid, in particular *Pythium insidiosum* microorganisms, disclosed by prior investigators (Kyle, 1992, *ibid.*), have been reported to be pathogenic to humans and/or animals.

Thus, there remains a need for an economical, commercially feasible method for producing arachidonic acid. The present invention satisfies that need. There also remains a need for the an economical, commercially feasible food product for the introduction of arachidonic acid produced according to the present invention into the diet of human infants.

SUMMARY

The present invention provides for a method for economically producing arachidonic acid. One embodiment of the present invention includes a method to produce arachidonic acid, comprising culturing microorganisms of the genus *Mortierella* sect. *schmuckeri* in a medium comprising a source of assimilable organic carbon and a source of assimila-

ble nitrogen. In another embodiment, such strains of *Mortierella* sect. *schnuckeri* are capable of producing at least about 0.86 grams per liter per day of arachidonic acid.

Yet another embodiment of the present invention includes a food product comprising lipids recovered from a microorganism of the genus *Mortierella* sect. *schnuckeri* and a food material. In particular, such lipids can be added to infant formula and baby food to increase the arachidonic acid or long chain omega-6 fatty acid content of such foods.

DETAILED DESCRIPTION OF THE INVENTION

The present invention provides for a novel process for the production of commercially feasible amounts of arachidonic acid using a *Mortierella* sect. *schnuckeri* microorganism. One embodiment of the present process is to produce arachidonic acid by culturing microorganisms of the genus *Mortierella* sect. *schnuckeri* in a medium comprising a source of assimilable organic carbon and a source of assimilable nitrogen. The lower fungi Phycmycetes contains at least two classes, including Oomycetes and Zygomycetes. The class Zygomycetes contains at least two orders, including Entomophthorales and Mucorales. Contained within the Mucorales order are numerous genera including *Mortierella*. The genus *Mortierella* contains nine sections, including sect. *schnuckeri* (Gams, 1977, pp. 381-391, *Persoonia*, vol. 9 and Gams, 1977, p. 216, in Abstracts Vol. A-L, Second International Mycological Congress, University of South Florida). The *schnuckeri* sect. of the genus *Mortierella* contains three species referred to as *Mortierella camargensis*, *Mortierella clausenii* and *Mortierella schnuckeri*.

All of the other strains of *Mortierella* that have been evaluated for arachidonic acid production belong to the *Mortierella* sections *alpina*, *hygrophila* or *spinosa*. It has now been recognized that strains of *Mortierella* sect. *schnuckeri* are particularly advantageous in the production of arachidonic acid compared to these other strains of *Mortierella*. In particular, it has been found that strains of *Mortierella* sect. *schnuckeri* are capable of producing arachidonic acid with high productivity. Strains of *Mortierella* sect. *schnuckeri* of the present invention are preferably capable of producing at least about 0.70 grams of arachidonic acid per liter per day, more preferably at least about 0.80 grams of arachidonic acid per liter per day, and even more preferably at least about 0.86 grams of arachidonic acid per liter per day. Preferably, strains of *Mortierella* sect. *schnuckeri* of the present invention are also capable of producing a total fatty acid content of at least about 20% of dry weight, preferably at least about 30% of dry weight, and more preferably at least about 40% of dry weight. Moreover, preferred strains of *Mortierella* sect. *schnuckeri* of the present invention contain at least about 20% of total fatty acids as arachidonic acid, more preferably at least about 35% total fatty acids as arachidonic acid, and even more preferably at least about 48% total fatty acids as arachidonic acid. The arachidonic acid content of cellular biomass of strains of *Mortierella* sect. *schnuckeri* of the present invention can be at least about 5% of cellular dry weight, preferably at least about 8% of cellular dry weight, and more preferably at least about 13% of cellular dry weight.

Oil recovered, such as by extraction, from a preferred strain of *Mortierella* sect. *schnuckeri* of the present invention contains at least about 20% arachidonic acid, more preferably at least about 30% arachidonic acid, and even more preferably at least about 41% arachidonic acid. As used herein, "lipid" "lipid extract", "oil" and "oil extract" are used interchangeably.

Morphological growth forms of fungi can have a significant effect on growth and product formation in fermenters. Fungal morphology in fermenters can range from a dispersed filamentous form to a dense pellet form. Species of *Mortierella* sect. *schnuckeri* of the present invention have an advantage over previously utilized species of *Mortierella*, including the ability to readily grow (early in a fermentation) in a dispersed filamentous form when grown in agitated liquid cultures such as shake flasks or fermenters. Some other species of *Mortierella* grown in fermentation medium typically grow in the form of pellets or spherical aggregates (i.e., having the appearance of a very tight cotton ball), sometimes exhibiting a dispersed form only after several days in a fermentation. Without being bound by theory, it is believed that the growth and productivity of cells in the pellet form is limited because cells in the center of a pellet or aggregate are not exposed to the necessary nutrients contained in the fermentation medium. Traditional methods of growing these fungal populations can include increasing the agitation of the fermenter or addition of detergents in an attempt to disperse such aggregates and improve cell growth. The present inventor has discovered that strains of *Mortierella* sect. *schnuckeri* of the present invention readily grow in the dispersed filamentous form, thereby improving growth and productivity of such cells by enabling nutrients to reach all the cells. As used herein, the term "filamentous" refers to the growth of fungi as a loosely branched network of short mycelia rather than as a pellet or aggregate.

Preferred strains of *Mortierella* sect. *schnuckeri* of the present invention include strains of *Mortierella* sect. *schnuckeri* isolated from cold, arid soil, in which the microorganisms experience short periods of wetness. In particular, such areas can include soils that experience some prolonged periods of freezing or near freezing conditions. More preferred strains of *Mortierella* sect. *schnuckeri* are isolated from the Southwest region of North America, in particular, desert regions of the United States and/or Mexico. In particular, strains of *Mortierella* sect. *schnuckeri* of the species *Mortierella schnuckeri* are isolated from southern California and/or Mexico.

Strains of *Mortierella* can be isolated from soils or aquatic habitats using techniques known in the art (Stevens, 1974, in *Mycology Guidebook*, University of Washington Press, Seattle; and Barron, pp. 405-427, 1971, in *Methods of*

Microbiology, Vol. 4.). More specifically, species of *Mortierella* sect. *schmuckeri* can be isolated by suspending small samples of soil in distilled water and then streaking a portion of the suspension on corn meal agar plates or agar plates containing a desired fermentation media. Additionally, species of *Mortierella* sect. *schmuckeri* can be isolated from aquatic habitats using techniques known in the art (see, for example, U.S. Patent No. 5,130,242, by Barclay et al., issued July 14, 1992; and U.S. Patent No. 5,340,594, by Barclay et al., issued August 23, 1994). On agar plates, *Mortierella* colonies can be partly identified by several characteristics, including for example, as white colored colonies which grow essentially within the agar rather than predominantly exhibiting aerial growth. *Mortierella* colonies can also be distinguished from other fungi using the general characteristics of fungal taxonomy outlined, for example, by Talbot (Principles of Fungal Taxonomy, 1971, Macmillan Press). After isolation of a pure colony, members of the genus *Mortierella* can also be identified by, for example, a garlic-like odor when cultured in a shake flask or in agar plate cultures containing media described in Stevens, *ibid*. The culture producing the best sporulation can then be used to identify the species of the culture using the *Mortierella* keys outlined in Gams (pp. 381-391, 1977, *Persoonia*, Vol. 9; and in *Taxonomic problems in Mortierella*, Abstracts, 2nd International Mycological Conference, University of South Florida, Tampa, published by Hamilton Newell, Inc., Amherst, MA).

After isolation of a pure colony of strains of *Mortierella* sect. *schmuckeri*, the biomass of the strain can be analyzed for lipid content and arachidonic acid content by gas chromatography. Preferred colonies that exhibit rapid growth and high lipid and high arachidonic acid content can then be selected. Further selection for the presence or absence of other characteristics can also be conducted. For example, in the application of extracted lipids in infant formula for the benefit of arachidonic acid content, the presence of eicosapentanoic acid (C20:5n-3; "EPA") is detrimental. Therefore, one can select for the absence of high EPA content. One preferred species of *Mortierella* sect. *schmuckeri* of the present invention is *Mortierella camargensis*. Particularly preferred strains of *Mortierella camargensis* of the present invention have the identifying characteristic of being able to produce about 0.86 grams of arachidonic acid per liter per day. Another identifying characteristic is that between about 25% and about 33% of the total fatty acids produced by such particularly preferred *Mortierella camargensis* can be arachidonic acid. Thus, the resulting arachidonic acid content of a biomass of a particularly preferred *Mortierella camargensis* of the present invention can be between about 9.6% and about 10.8% under appropriate fermentation conditions. Yet another identifying characteristic is that the resulting oil recovered from a particularly preferred *Mortierella camargensis* of the present invention can have an arachidonic acid content ranging from about 20% to about 30% of the total fatty acids.

Another particularly preferred species of *Mortierella* sect. *schmuckeri* of the present invention, *Mortierella schmuckeri* has the identifying characteristic of being able to produce about 0.84 grams of arachidonic acid per liter per day. Another identifying characteristic is that between about 40% and about 49% of the total fatty acids produced by such particularly preferred *Mortierella schmuckeri* of the present invention can be arachidonic acid. Thus, the resulting arachidonic acid content of a biomass of a particularly preferred *Mortierella schmuckeri* of the present invention can be between about 12.5% and about 13.6% under appropriate fermentation conditions. Yet another identifying characteristic is that the resulting oil recovered from a particularly preferred *Mortierella schmuckeri* can have an arachidonic acid content ranging from about 33% to about 41% of the total fatty acids.

It is within the scope of the present invention that, in addition to known strains of *Mortierella* sect. *schmuckeri*, such as those on deposit with the American Type Culture Collection (e.g., ATCC), newly identified strains from nature and mutant strains derived from known or newly identified strains, can be used to produce arachidonic acid. Naturally-occurring mutants of a parental strain of *Mortierella* sect. *schmuckeri* that are capable of producing arachidonic acid can be isolated by, for example, subjecting a parental strain to at least one round of chemical or physical mutagenesis in order to increase the rate of mutagenesis, thereby increasing the probability of obtaining a microorganism producing increased amounts of arachidonic acid. It will be obvious to one skilled in the art that mutant microorganisms of the present invention also include arachidonic acid-producing microorganisms that can be obtained by genetically-engineering microorganisms to produce increased amounts of arachidonic acid. For example, it is within the purview of the present invention to transform *Mortierella* sect. *schmuckeri* microorganisms with nucleic acid molecules encoding enzymes of the arachidonic acid biosynthetic pathway obtained from fungal arachidonic acid-producing microorganisms, such as those of the genus *Mortierella* sect. *schmuckeri*. A *Mortierella* sect. *schmuckeri* nucleic acid molecule of the present invention can be obtained from its natural source either as an entire (i.e., complete) gene or a portion thereof capable of forming a stable hybrid with the entire gene. A nucleic acid molecule from a strain of *Mortierella* sect. *schmuckeri* can also be produced using recombinant DNA technology (e.g., polymerase chain reaction (PCR) amplification, cloning) or chemical synthesis. As used herein, a "mutated microorganism" is a mutated parental microorganism in which the nucleotide composition of such microorganism has been modified by mutation(s) that occur naturally, that are the result of exposure to a mutagen, or that are the result of genetic engineering.

Preferred mutants of strains of *Mortierella* sect. *schmuckeri* of the present invention have one or more of the identifying characteristics of a preferred *Mortierella camargensis* of the present invention and a preferred *Mortierella schmuckeri* of the present invention as described in detail above.

In accordance with the present invention, microorganisms of the genus *Mortierella* sect. *schmuckeri* capable of producing arachidonic acid, are cultured in an effective medium, herein defined as any medium capable of promoting ara-

chidonic acid production. Preferably, the effective medium also promotes rapid fungal growth. The microorganisms of the genus *Mortierella* sect. *schnuckeri* of the present invention can be cultured in conventional fermentation modes, which include, but are not limited to, batch, fed-batch, and continuous.

The present invention provides a method to produce arachidonic acid, comprising culturing microorganisms of the genus *Mortierella* sect. *schnuckeri* in a medium comprising a source of assimilable organic carbon and a source of assimilable nitrogen.

Sources of assimilable carbon include but are not limited to sugars and their polymers, including starches, dextrin, saccharose, maltose, lactose, glucose, fructose, mannose, sorbose, arabinose, xylose, levulose, cellobiose, and molasses; fatty acids; and polyalcohols such as glycerine. Preferred carbon sources in the present invention include monosaccharides, disaccharides, and trisaccharides. The most preferred carbon source is glucose.

Sources of assimilable nitrogen useful for fermentation of a microorganism of the present invention include simple nitrogen sources, organic nitrogen sources and complex nitrogen sources. Such nitrogen sources include ammonium salts and substances of animal, vegetable and/or microbial origin. Such organic nitrogen sources include corn steep liquor, protein hydrolysates, microbial biomass hydrolysates, soy tone, soy meal, fish meal, meat meal, meat extract, peptone, tryptone, yeast extract, yeast, whey, ammonium sulfate, urea, ammonium nitrate and amino acids.

Preferred nitrogen sources for use in an effective medium of the present invention include complex nitrogen sources. Use of a complex nitrogen source in a fermentation medium of the present invention increases arachidonic acid production by a strain of *Mortierella* sect. *schnuckeri* of the present invention by at least about 50 percent and preferably by at least about 100 percent, either as measured by percent dry weight or percent total fatty acids in an oil, compared with a strain of *Mortierella* sect. *schnuckeri* grown in the absence of a complex nitrogen source. Suitable complex nitrogen sources include, for example, corn steep liquor, protein hydrolysates, microbial biomass hydrolysates, soy tone, soy meal, fish meal, meat meal, meat extract, peptone, tryptone, yeast extract, yeast and whey. One of skill in the art can determine which complex nitrogen source best stimulates arachidonic acid production in the strain of *Mortierella* sect. *schnuckeri* employed in a fermentation process.

In a preferred embodiment of the present invention, a fermentation is conducted in which a non-carbon nutrient, for example, nitrogen or magnesium and preferably nitrogen, is limited. In this manner, cellular metabolism is directed towards lipid production, thus enhancing the overall production of arachidonic acid.

The effective medium can contain other compounds such as inorganic salts, vitamins, trace metals or growth promoters. Such compounds can be present in carbon, nitrogen, or mineral sources in the effective medium or can be added specifically to the medium. Low concentrations of magnesium are also preferred.

During the fermentation, variables including the oxygen content, pH, temperature, carbon dioxide content, and rate of carbon source addition are controlled to maximize the production of arachidonic acid without unduly limiting the length of time during which successful fermentation can be accomplished. The optimum oxygen concentration for arachidonic acid production can be determined for any particular population of *Mortierella* sect. *schnuckeri* by variation of the oxygen content of the medium. In particular, the oxygen content of the fermentation medium is maintained at an oxygen content preferably ranges from between about 20% of saturation and about 60% of saturation.

Growth of strains of *Mortierella* sect. *schnuckeri* of the present invention can be effected at any temperature conducive to satisfactory growth of the strain; for example, between about 25°C and about 33°C, preferably between about 27°C and about 32°C, and more preferably at about 30°C. The culture medium typically becomes more alkaline during the fermentation if pH is not controlled by acid addition or buffers. The strains of *Mortierella* sect. *schnuckeri* of the present invention will grow over a pH range from between about 4.0 to about 10.0 with a starting pH of about 5.5 being more preferred.

Another aspect of the present invention includes a food product comprising a food material combined with microorganisms of the genus *Mortierella* sect. *schnuckeri*. Strains of *Mortierella* sect. *schnuckeri* of the present invention are added to a food material to create a food product having enhanced concentrations of arachidonic acid. As used herein, the term "food material" refers to any food type fed to humans or non-human animals. Also within the scope of the present invention is a method to make a food product comprising adding microorganisms of the genus *Mortierella* sect. *schnuckeri* to a food material.

Mortierella sect. *schnuckeri* of the present invention are recovered for use as a food supplement simply by separating the cells from fermentation medium. A variety of procedures can be employed in the recovery of microbial cells from the culture medium. In a preferred recovery process, the cells produced in the fermentation process are recovered from the culture medium by separation using conventional means, such as centrifugation or filtration. The cells can then be washed, frozen, lyophilized, and/or dried (e.g., spray drying, tunnel drying, vacuum drying, or a similar process). The arachidonic acid rich oil can be extracted immediately from the cells or the resulting cells can then be stored under a non-oxidizing atmosphere of a gas such as N₂ or CO₂ (to eliminate the presence of O₂) prior to incorporation into a food material. Alternatively, recovered cells can be used directly (without drying) as a feed supplement. To extend its shelf life, the wet biomass of a strain of *Mortierella* sect. *schnuckeri* can be acidified (approximate pH = 3.5-4.5) and/or pasteurized or flash heated to inactivate enzymes and then canned, bottled or packaged under a vacuum.

A suitable food material useful for the formation of a food product of the present invention includes animal food. The term "animal" means any organism belonging to the kingdom Animalia and includes, without limitation, primates (e.g., humans and monkeys), livestock and domestic pets. The term "food product" includes any product to be fed to such animals. Preferred food materials to be consumed by humans includes infant formula and baby food. Preferred food materials to be consumed by domestic pets includes dog foods. By adding *Mortierella* sect. *schmuckeri* biomass or extracted oil to provide a source of arachidonic acid, preferred food products of the present invention comprise a total fatty acid content in which up to about 20% by weight of total fatty acids is arachidonic acid, more preferred food products of the present invention comprise a total fatty acid content in which up to about 10% by weight of total fatty acids is arachidonic acid, and even more preferred food products of the present invention comprise a total fatty acid content in which between about 0.1% and about 1.0% by weight of total fatty acids is arachidonic acid.

A further embodiment includes a food product comprising lipids recovered from a microorganism of the genus *Mortierella* sect. *schmuckeri* and a food material. Recovered lipids can include either all of the lipids recovered from the microorganisms or a portion thereof (i.e., isolated arachidonic acid or total fatty acids containing arachidonic acid). In the former instance, the lipid composition includes arachidonic acid in about the same relative amount as it exists in the organism. Alternatively, the recovered lipids can be further processed to concentrate the arachidonic acid to achieve a composition having a greater concentration of arachidonic acid than occurs naturally in the organism. Also within the scope of the present invention is a method to make a food product comprising adding lipids recovered from a microorganism of the genus *Mortierella* sect. *schmuckeri* to a food material.

Recovery of lipids from strains of *Mortierella* sect. *schmuckeri* can be accomplished by any suitable method, including numerous methods known in the art. For example, recovery can include the following method. Harvested cells (fresh or dried) can be ruptured using techniques known to those in the art. Lipids can then be extracted from the cells by any suitable means, such as by supercritical fluid extraction, or by extraction with solvents such as chloroform, hexane, methylene chloride, methanol, isopropol, ethyl acetate, and the like, and the extract evaporated under reduced pressure to produce a sample of concentrated lipid material. Arachidonic acid can be further separated from other lipids by chilling a fatty acid composition such that the saturated fatty acids in the composition precipitate out while the arachidonic acid remains in solution. The solution can then be recovered.

The *Mortierella* sect. *schmuckeri* microorganisms can also be broken or lysed and the lipids recovered into edible oil using standard methods known in the art. The recovered oils can be refined by well-known processes routinely employed to refine vegetable oils (e.g., chemical or physical refining). These refining processes remove impurities from recovered oils before they are used or sold as edible oils. The refining process consists of a series of processes to degum, bleach, filter, deodorize and polish the recovered oils. After refining, the oils can be used directly as a feed or food additive to produce arachidonic acid enriched products. Alternatively, the oil can be further processed and purified as outlined below and then used in the applications as described herein.

Lipids recovered from the biomass of a strain of *Mortierella* sect. *schmuckeri* of the present invention can be combined with any animal food material, particularly food materials for humans, to create a food product having enhanced concentrations of arachidonic acid. The amount of fatty acids naturally in food products varies from one food product to another. A food product of the present invention can have a normal amount of arachidonic acid or a modified amount of arachidonic acid. In the former instance, a portion of the naturally occurring lipids are substituted by lipids of the present invention. In the latter instance, naturally occurring lipids are supplemented by lipids of the present invention.

Preferably, lipids recovered from strain of *Mortierella* sect. *schmuckeri* are added to foods for infants, such as infant formula and baby food. According to the present invention, an infant refers to infants in utero and children less than about two years old, including, in particular, premature infants. Arachidonic acid is a particularly important component of infant formula and baby food because of the rapid growth of infants (i.e., doubling or tripling in weight during the first year of life). An effective amount of arachidonic acid to supplement infant formula is an amount that approximates the concentration of arachidonic acid in human breast milk. Preferred amounts of arachidonic acid to add to infant formula or baby food range from between about 0.1 to about 1.0% of total fatty acids, more preferably from between about 0.1 to about 0.6% of total fatty acids, and even more preferably about 0.4% of total fatty acids.

Arachidonic acid produced by the method of the present invention is suitable for use as therapeutic and experimental agents. An embodiment of the present invention comprises the production of arachidonic acid for treatment of arachidonic acid-deficient infants. The arachidonic acid can be included in a parenteral formulation that can be administered to an infant through parenteral routes to fortify the infant's supply of arachidonic acid. Preferred parenteral routes include, but are not limited to, subcutaneous, intradermal, intravenous, intramuscular and intraperitoneal routes. A parenteral formulation can include arachidonic acid of the present invention and a carrier suitable for parenteral delivery. As used herein, a "carrier" refers to any substance suitable as a vehicle for delivering a molecule or composition to a suitable *in vivo* site of action. Examples of such carriers include, but are not limited to water, phosphate buffered saline, Ringer's solution, dextrose solution, serum-containing solutions, Hank's solution and other aqueous physiologically balanced solutions. Acceptable protocols to administer arachidonic acid in an effective manner include individual dose size, number of doses, frequency of dose administration, and mode of administration. Determination of such protocols can be accomplished by those skilled in the art depending upon a variety of variables, including the weight of the

infant and the extent of arachidonic acid deficiency. Another embodiment of the present invention comprises the production of arachidonic acid for treatment of adults, in particular pregnant mothers. Acceptable protocols for administration of arachidonic acid to adults includes parenteral feeding techniques or encapsulating oil recovered from a microorganism of the present invention in a capsule, such as gelatin (i.e., digestible) capsule, for oral administration and/or in a liquid diet formulation. A liquid diet formulation can comprise a liquid composition containing nutrients suitable for supplementing a diet or nutrients sufficient as a complete diet.

Another embodiment of the present invention comprises the production of arachidonic acid for use as an experimental reagent to identify regulators of metabolic pathways for which arachidonic acid is a precursor. For example, arachidonic acid is a precursor for leukotrienes. Leukotrienes are believed to be involved in the occurrence of certain diseases involving inflammation and allergy. As such, inhibitors of leukotriene production may be valuable therapeutic agents. Arachidonic acid recovered using the method of the present invention can be used to test putative inhibitory agents *in vitro* by incubating the putative inhibitor with arachidonic acid under suitable conditions well-known to those of skill in the art, and measuring leukotriene production.

The following examples and test results are provided for the purposes of illustration and are not intended to limit the scope of the invention.

EXAMPLES

Example 1

This example describes the production of arachidonic acid by the strain S12 of *Mortierella* sect. *schnuckeri* which is a strain of *Mortierella schnuckeri*.

A strain of *Mortierella schnuckeri* was identified in accordance with the method of the present invention. Such strain is referred to herein as strain S12. A one centimeter squared portion of a *Mortierella schnuckeri* strain S12 was cut from a solid agar plate and placed in 100 ml aliquots of medium containing 10 grams/liter (g/l) of corn steep liquor, 0.1 g/l CaCO_3 , 0.1 g/l $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$, 0.5 g/l KH_2PO_4 , 1 milliliter per liter (ml/l) P11 metals (6.0 g Na_2EDTA ; 0.24 g $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$; 6.84 g H_3BO_3 ; 0.86 g $\text{MnCl}_2 \cdot 4\text{H}_2\text{O}$; 0.133 g $\text{ZnSO}_4 \cdot 7\text{H}_2\text{O}$; 0.026 g $\text{CoCl}_2 \cdot 6\text{H}_2\text{O}$; 0.005 g $\text{NaMoO}_4 \cdot 2\text{H}_2\text{O}$; 0.002 g $\text{CuSO}_4 \cdot 5\text{H}_2\text{O}$ and 0.052 g $\text{NiSO}_4 \cdot 6\text{H}_2\text{O}$; dissolved in 1 liter of water and pH adjusted to 8.0), and 1 ml/l vitamin mix (100 mg/L thiamin; 500 $\mu\text{g/L}$ biotin and 500 $\mu\text{g/L}$ vitamin B_{12}), contained in 250 ml baffled shake flasks. The cultures were incubated for 72 hours, at 30°C on a rotary shaker (225 rpm). After 72 hours, the cultures were of high density and had stopped growing.

The cells in the flasks were then sampled to determine ash-free dry weights and to quantify the fatty acid content of the cells. The cells of *Mortierella schnuckeri* strain S12 were harvested and centrifuged. Fatty acids in dry biomass of harvested cells were then methylated in 4% methanolic H_2SO_4 (4 ml H_2SO_4 in 96 ml methanol) at 100°C for 1 hour. The fatty acid methyl esters were then quantified by gas chromatography (Varian 3500 gas chromatograph, Supelco SP 2330 column; initial column temp. = 70°C; detector temp = 250°C; injector temp = 220°C; carrier gas = helium; temperature program: initial column temp = 70°C for 3 min, 20°C per min to 195°C, then hold for 5 min., then 25°C per min to 220°C, then hold for 8 min.). The composition of the fatty acids in the fungal biomass is shown in Table 1.

TABLE 1

S12 Fatty Acid Profile			
FATTY ACID		Fatty Acid Content	
		mg/g dwt*	% TFA*
MYRISTATE	C14:0	0.9	0.3
MYRISTOLEATE	C14:1	1.1	0.3
PALMITATE	C16:0	45.6	13.5
PALMITOLEATE	C16:1	2.2	0.6
STEARATE	C18:0	26.9	8.0
OLEATE	C18:1	39.8	11.8
LINOLEATE	C18:2N6	36.5	10.8
Gamma-LINOLENATE	C18:3N6	13.8	4.1
LINOLENATE	C18:3N3	1.6	0.5
EICOSENOATE-11	C20:1	1.1	0.3
EICOSADIENOATE-11,14	C20:2	2.2	0.6
HOMOGAMMA LINOLENATE	C20:3N6	1.6	0.5
BEHENATE	C22:0	17.4	5.2
ARACHIDONATE	C20:4	135.7	40.3
LIGNOCERATE	C24:0	9.6	2.8
NERVONATE	C24:1	0.6	0.2
		336.5	100.0

* TFA = Total Fatty Acids

* dwt = cellular dry weight

The results indicated that under these fermentation conditions, the strain S12 biomass contained about 33.7% of fatty acids. Approximately 40.3% of the total fatty acids was comprised of arachidonic acid. The arachidonic acid content of the biomass therefore was 13.6% cellular dry weight.

Example 2

This example describes the effect of varying the carbon to nitrogen ratio in the fermentation medium on arachidonic acid production in the fermentation of *Mortierella schmuckeri* strain S12 cells.

Fermentation cultures were prepared as described in Example 1. Numerous fermentation samples were prepared that had increasing concentrations of glucose (the amounts are shown in Table 2, first column). The relative amounts of total fatty acids and arachidonic acid were measured according to the method described in Example 1 and the results are illustrated in Table 2.

TABLE 2

Strain S12: Effect of C:N Ratio on Dry Weight, Lipid and Arachidonic Acid Yields						
glucose g/L	C:N Ratio	Biomass dry wt. g/L	Final pH	Tot. FA % dry wt.	Arachidonic % Tot. FA.	Arachidonic % dry wt.
3.7	3:1	3.1	7.3	15.9	48.6	7.7
6.2	5:1	4.0	7.1	24.0	43.4	10.4
12.4	10:1	6.0	6.6	31.3	35.5	11.1
37.2	30:1	6.2	6.5	31.5	35.6	11.2
49.6	40:1	6.6	6.5	32.0	37.5	12.0
74.4	60:1	6.8	6.4	30.9	39.3	12.1
99.2	80:1	5.8	6.4	25.7	37.8	9.7
124.0	100:1	5.9	6.4	25.5	37.4	9.5

The results indicated that optimal carbon to nitrogen ratio for the fermentation of strain S12 is about 40:1 to about 60:1. The results also indicate that the amount of arachidonic acid produced by S12 cells can be increased by limiting the amount of non-carbon nutrients, in particular nitrogen, in the fermentation medium.

Example 3

This example illustrates the effect of nutrient manipulation on arachidonic acid production by *Mortierella schmuckeri* strain S12 and *Mortierella camargensis* strain S3.

A strain of *Mortierella camargensis* was identified in accordance with the method of the present invention. Such strain is referred to herein as strain S3. Fermentation cultures were prepared as described in Example 1. Numerous fermentation samples were prepared that had different nutrients deleted from the fermentation medium. The nutrients deleted from the various fermentation samples are shown in Table 3 (first column). The relative amounts of total fatty acids and arachidonic acid were measured according to the method described in Example 1. The results are shown in Table 3.

TABLE 3

Strains S12 and S3: Evaluation of nutrient subtraction on ARA production					
Strain S12: <i>M. schmuckeri</i>					
Nutrient Deleted	Biomass dwt yield g/L	Fatty acid % dwt	ARA % TFA	ARA % dwt	ARA g/L
CaCO ₃	4.2	31.3	31.0	9.7	0.41
Vitamins	5.6	32.8	34.4	11.3	0.63
MgSO ₄	5.4	32.1	39.3	12.6	0.68
P11	5.5	30.6	34.3	10.5	0.58
KH ₂ PO ₄	5.5	30.3	35.3	10.7	0.59
Strain S3: <i>M. camargensis</i>					
Nutrient Deleted	Biomass dwt yield g/L	Fatty acid % dwt	ARA % TFA	ARA % dwt	ARA g/L
CaCO ₃	4.5	37.4	21.9	8.2	0.37
Vitamins	5.5	34.9	24.9	8.7	0.48
MgSO ₄	5.4	38.7	25.3	9.8	0.53
P11	5.5	34.9	23.2	8.1	0.45
KH ₂ PO ₄	5.3	34.1	23.2	7.9	0.42

The results indicated that, for both strains of *Mortierella* sect. *schmuckeri*, minimizing the magnesium concentration in the fermentation medium had a greater effect on arachidonic acid production than deletion of calcium, vitamins, trace metals and potassium phosphate. For example, the amount of arachidonic acid produced by cells of strain S12 grown in the absence of magnesium was about 0.7 grams of arachidonic acid per liter, while the arachidonic acid production by cells of strain S12 grown in the absence of calcium was on average about 0.4 grams of arachidonic acid per liter.

Example 4

This example describes a comparison of arachidonic acid production by *Mortierella camargensis* strain S3 between cells grown in the presence or absence of corn steep liquor, a complex nitrogen source.

A first fermentation sample was prepared using the method and culture medium described in Example 1. A second fermentation sample was prepared using the medium described in Example 1 but instead of corn steep liquor, yeast extract was used as the nitrogen source. Lipids were prepared from strain S3 cells and analyzed using the method described in Example 1. The composition of the fatty acid mixture obtained from each of the foregoing fermentation procedures is shown in Tables 4 and 5. The S3 sample grown with corn steep liquor was found to contain 35.9% of dry weight as fatty acids. The arachidonic acid content of this sample was 10.8% of cellular dry weight. The S3 sample grown without corn steep liquor was found to contain 19.8% of dry weight as of fatty acids. The arachidonic acid content of the sample was 4.8% of cellular dry weight.

TABLE 4

S3 Strain Grown With Corn Steep Liquor			
FATTY ACID		Fatty Acid Content	
		mg/g dwt*	% TFA*
MYRISTATE	C14:0	1.8	0.5
MYRISTOLEATE	C14:1	0.9	0.3
PALMITATE	C16:0	60.1	16.7
PALMITOLEATE	C16:1	1.0	0.3
STEARATE	C18:0	30.3	8.4
OLEATE	C18:1	27.9	7.8
LINOLEATE	C18:2N6	51.4	14.3
GAMMA-LINOLENATE	C18:3N6	27.5	7.7
EICOSENOATE-11	C20:1	1.5	0.4
EICOSADIENOATE-11,14	C20:2	3.1	0.9
HOMOGAMMA LINOLENATE	C20:3N6	1.8	0.5
BEHENATE	C22:0	28.2	7.8
EICOSATRIENOATE	C20:3	0.6	0.2
ARACHIDONATE	C20:4	107.8	30.0
EICOSAPENTANOATE	C20:5N3	0.4	0.1
LIGNOCERATE	C24:0	13.6	3.8
NERVONATE	C24:1	0.8	0.2
DOCOSAHEXANOATE	C22:6N3	0.6	0.2
		359.4	100.0

* TFA = Total Fatty Acids

* dwt = cellular dry weight

TABLE 5

S3 Strain Grown Without Corn Steep Liquor			
FATTY ACID		Fatty Acid Content	
		mg/g dwt*	% TFA*
MYRISTATE	C14:0	1.0	0.5
MYRISTOLEATE	C14:1	1.2	0.6
PALMITATE	C16:0	38.9	19.7
PALMITOLEATE	C16:1	0.8	0.4
STEARATE	C18:0	9.7	4.9
OLEATE	C18:1	33.6	17.0
LINOLEATE	C18:2N6	28.1	14.2
GAMMA-LINOLENATE	C18:3N6	11.8	6.0
EICOSENOATE-11	C20:1	1.9	0.9
EICOSADIENOATE-11,14	C20:2	1.0	0.5
HOMO GAMMA LINOLENATE	C20:3N6	4.4	2.2
BEHENATE	C22:0	7.0	3.6
ARACHIDONATE	C20:4	48.8	24.7
ERUCATE	C22:1	0.0	0.0
EICOSAPENTANOATE	C20:5N3	0.0	0.0
LIGNOCERATE	C24:0	8.7	4.4
NERVONATE	C24:1	0.4	0.2
DOCOSAHEXANOATE	C22:6N3	0.4	0.2
		197.7	100.0

* TFA = Total Fatty Acids

* dwt = cellular dry weight

The results indicated that inclusion of corn steep liquor as a nitrogen source in the fermentation medium enhanced arachidonic acid production by S3 cells about two-fold. For example, S3 cells grown in the presence of corn steep liquor produced about 107.8 milligrams of arachidonic acid per gram of fungal biomass. Arachidonic acid comprised about 30% of the total fatty acids. Conversely, S3 cells grown in the absence of corn steep liquor produced about 48.8 milligrams of arachidonic acid per gram of fungal biomass. Arachidonic acid comprised about 24.7% of the total fatty acids. Thus, fermentation in the presence of corn steep liquor (a complex nitrogen source) enhanced the production of arachidonic acid.

The results indicate that corn steep liquor is one of the best complex nitrogen sources for stimulating arachidonic acid production in Strain S3. Arachidonic acid production, however, by Strain S12 (*Mortierella schmuckeri*) is stimulated by a wider range of complex nitrogen including, but not limited to, corn steep liquor, yeast extract, yeast, whey and soy flour.

Example 5

This example describes a comparison between the arachidonic acid content of *Mortierella camargensis* strain S3 and *Mortierella schmuckeri* strain S12 with previously known ATCC strains of the *schmuckeri* section of *Mortierella*.

Four strains, *Mortierella camargensis* strain S3, *Mortierella schmuckeri* strain S12 and *Mortierella schmuckeri* (ATCC No. 42658) were cultured in the presence or absence of corn steep liquor as described in Example 4. The fatty

acid content of the cells of the S3 strain and the two known strains was measured according to the method described in Example 1. A comparison of the total fatty acid yield and arachidonic acid yields is shown below in Table 6.

TABLE 6

Comparison of Arachidonic Acid and Total Fatty Acid Production in Strains of <i>Mortierella</i> from the Schmuckeri Group of this Fungus		
Total Fatty Acids (as % dwt)	w/o csl	w/ csl
<i>Mortierella camargensis</i> (Strain S3)	19.8	35.9
<i>Mortierella schmuckeri</i> (Strain S12)	18.3	33.7
<i>Mortierella schmuckeri</i> (ATCC 42658)	28.2	38.0
Arachidonic Acid (as % dwt)	w/o csl	w/ csl
<i>Mortierella camargensis</i> (Strain S3)	4.9	10.7
<i>Mortierella schmuckeri</i> (Strain S12)	6.0	13.6
<i>Mortierella schmuckeri</i> (ATCC 42658)	2.5	2.4
Arachidonic Acid (as % total fatty acids)	w/o csl	w/ csl
<i>Mortierella camargensis</i> (Strain S3)	24.7	30.0
<i>Mortierella schmuckeri</i> (Strain S12)	32.6	40.3
<i>Mortierella schmuckeri</i> (ATCC 42658)	9.0	6.3
w/o csl = without corn steep liquor w/ csl = with corn steep liquor % dwt = percent dry weight of biomass		

From the results shown in Table 6 it can be seen that the presence of corn steep liquor in the fermentation medium increases the total fatty acid production by about 2-fold in strain S3 and S12, and by about one third in *Mortierella schmuckeri* (ATCC No. 42658). However, while the presence of corn steep liquor in the fermentation medium increased arachidonic acid content (as %dwt) by about 2-fold in strain S3, the corn steep liquor did not effect arachidonic acid production by *Mortierella schmuckeri* (ATCC No. 42658). *Mortierella clausenii* (ATCC No. 64864) showed no significant growth in either the presence or absence of corn steep liquor.

Example 6

This example describes the analysis of the fermentation productivity and lipid content of oil obtained from the *Mortierella schmuckeri* strain S12.

Fermentations were conducted using *Mortierella schmuckeri* strain S12 in two 14 liter fermentation cultures designated vessel B20 and B23. M-3 medium was utilized in vessel B20 and M-6 medium was utilized in vessel B23. M-3 medium contained 12 g/L Cargill 200/20 soy flour, 0.1 g/L $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$, 0.1 g/L CaCO_3 , 1 mL/L of PII Metals, 1 mL/L of Vitamin mix, 2 g/L of KH_2PO_4 , 43.8 g/L of glucose and 0.5 mL/L of K60K antifoam. M-6 medium has the same ingredients as the M-3 medium except that it contained 12 g/L of Nutrex 55 (Red Star Specialty Products, Milwaukee, WI), a spray dried form of inactive Bakers yeast, instead of soy flour. The oil samples were purified and analyzed for arachidonic acid (ARA) content. The results of these two fermentations are shown in Table 7 below.

TABLE 7

ARA Production by S12 cells from Large Fermentations				
Vessel	Approx. Biomass Yield	Approx. ARA Yield	Ferm. Time	ARA Prod.
B23	22 g/L	2.3 g/L	65.5 h	0.84 g/L/day
B20	20 g/L	2.3 g/L	65.5 h	0.84 g/L/day

Oil was extracted from the fungal biomass produced in the two fermentations according to the following process. Samples of S12 fermentation broth from vessels B23 and B20 were filtered under vacuum to produce a cake of biomaterial which was isolated and dried in a steam oven. The dried biomaterial (200 g) was extracted with hexane (2 x 600 ml) in a waring blender to simulate a wet milling process. The milled fungal biomass was filtered to remove solids and the hexane was evaporated to afford a crude oil. Approximately 75% of the theoretical oil content was recovered in this wet milling process. The crude oil was purified by passing through a column of silica gel and the neutral oil fraction (triacylglycerides) was isolated by eluting the column with 30% ethanol:acetic acid in hexane. Fractions containing neutral oil (90% of crude oil) were pooled and concentrated to give a pure oil fraction which was analyzed by gas liquid chromatography. The results of the fatty acid analyses performed on the purified oil samples are shown in Table 8 below.

TABLE 8

Fatty Acid Content of S12 Oil		
Fatty Acid	% FATTY ACID	
	S-12 (B20)	S-12 (B23)
C16:0 Palmitate	10	11
C18:0 Stearate	12	12
C18:1 n-9 Oleate	14	16
C18:2 n-6 Linoleate	10	9
C18:3 n-6 GLA	3	3
C20:0 Arachidate	1	1
C20:3 n-6 Homo GLA	3	3
C20:4 n-6 Arachidonic Acid	41	37
C22:0 Behenate	2	2
C24:0 Lignocerate	4	4

The results indicate that the purified oil obtained from biomass produced in vessel B20 contained 41% arachidonic acid and the oil from the biomass produced in vessel B23 contained 37% arachidonic acid.

While various embodiments of the present invention have been described in detail, it is apparent that modifications and adaptations of those embodiments will occur to those skilled in the art. It is to be expressly understood, however, that such modifications and adaptations are within the scope of the present invention, as set forth in the following claims:

Claims

1. A method of producing arachidonic acid, comprising culturing micro organisms of the genus *Mortierella* sect. *schnuckeri* in a medium comprising a source of assimilable nitrogen.
2. A food product comprising a micro organism of the genus *Mortierella* sect. *schnuckeri* and a food material.

3. A food product comprising lipids recovered from a micro organism of the genus *Mortierella* sect. *schmuckeri* and a food material.

4. A therapeutic agent comprising lipids recovered from a micro organism of the genus *Mortierella* sect. *schmuckeri*.

5. The inventions of claims 1, 2, 3 or 4 wherein said *Mortierella* sect. *schmuckeri* is capable of producing at least about 0.70 grams of arachidonic acid per litre per day.

6. The inventions of claims 1, 2, 3 or 4 wherein said *Mortierella* sect. *schmuckeri* is of a species selected from the group consisting of *Mortierella schmuckeri* and *Mortierella camargensis*.

7. The inventions of claims 1, 2, 3 or 4 wherein said *Mortierella* sect. *schmuckeri* is capable of growing as a dispersed filamentous form when grown under liquid culture conditions.

8. The method of claim 1 wherein said method further comprises recovering lipids comprising said arachidonic acid from said *Mortierella* sect. *schmuckeri*.

9. The food products of claims 2 or 3 wherein said food material comprises an animal food.

10. The food products of claims 2 or 3 wherein said food product has a total fatty acid content in which up to about 20% by weight of total fatty acids is arachidonic acid.

11. The food product of claim 3 wherein said food material comprises an infant food material.

12. The method of claim 1 wherein said medium comprises a complex nitrogen source which increases arachidonic acid production by said *Mortierella* sect. *schmuckeri* by at least about 50%, as measured per cent cell dry weight or per cent of total fatty acids in an oil, compared with *Mortierella* sect. *schmuckeri* grown in the absence of said complex nitrogen source.

11

12

13

(19)



Europäisches Patentamt

Eur pean Patent Office

Office ur péen des brevets



(11)

EP 0 726 321 A3

(12)

EUROPEAN PATENT APPLICATION

(88) Date of publication A3:
06.08.1997 Bulletin 1997/32

(43) Date of publication A2:
14.08.1996 Bulletin 1996/33

(21) Application number: 96200072.5

(22) Date of filing: 16.01.1996

(51) Int. Cl.⁶: **C12P 7/64**, A23L 1/28,
A61K 31/20, A61K 31/23,
A61K 35/70
// C12R1:645

(84) Designated Contracting States:
**AT BE CH DE DK ES FR GB GR IE IT LI LU MC NL
PT SE**

(30) Priority: 24.01.1995 US 377766

(71) Applicant: **OMEGATECH, INC.**
Boulder, CO 80301 (US)

(72) Inventor: **Barclay, William R.**
Boulder, Colorado 80303 (US)

(74) Representative: **Wharton, Peter Robert**
Urquhart-Dykes & Lord
Inventions House
Valley Court, Canal Road
Bradford BD1 4SP (GB)

(54) Method for production of arachidonic acid

(57) The present invention relates to a process for producing arachidonic acid. In one embodiment, *Mortierella sect. schmuckeri* micro organisms are cultured in fermentation medium, preferably containing a component of a complex nitrogen source. Further disclosed is a food product which includes *Mortierella sect. schmuckeri* micro organisms or lipid isolated from such micro organisms to enhance the arachidonic acid content of the food product.

EP 0 726 321 A3



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number
EP 96 20 0072

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
X	WO 94 28913 A (MARTEK BIOSSCIENCES CORP.) 22 December 1994	4-7	C12P7/64 A23L1/28
Y	* claims 30-64 *	1-12	A61K31/20 A61K31/23
X,D	US 4 783 408 A (DIRECTOR-GENERAL OF THE AGENCY OF INDUSTRIAL SCIENCE & TECHNOLOGY) 8 November 1988	2,3,5-7, 9-11	A61K35/70 //C12R1:645
Y	* column 5 *	1-12	
Y	EP 0 276 541 A (SUNTORY LTD.) 3 August 1988 * the whole document *	1-12	
			TECHNICAL FIELDS SEARCHED (Int.Cl.6)
			C12P A23D A61K A23L
The present search report has been drawn up for all claims			
Place of search MUNICH		Date of completion of the search 27 May 1997	Examiner Douschan, K
<p>CATEGORY OF CITED DOCUMENTS</p> <p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document</p>			

EPO FORM 1503 01.82 (P4/C01)



Europäisches Patentamt
European Patent Office
Office européen des brevets



(11) Publication number: **0 612 725 A1**

(12)

EUROPEAN PATENT APPLICATION

(21) Application number: **94300849.0**

(51) Int. Cl.⁵: **C07C 403/24**

(22) Date of filing: **04.02.94**

(30) Priority: **11.02.93 ZA 930953**

(43) Date of publication of application:
31.08.94 Bulletin 94/35

(64) Designated Contracting States:
**AT BE CH DE DK ES FR GB GR IE IT LI LU MC
NL PT SE**

(71) Applicant: **SASOL CHEMICAL INDUSTRIES
(PROPRIETARY) LIMITED**
1 Sturdee Avenue,
Rosebank
Johannesburg, Transvaal Province (ZA)

(72) Inventor: **Rose, Peter Dale**
11 Willshire Crescent
Grahamstown, Cape Province (ZA)
Inventor: **Phillips, Trevor David**
26 Southey Street
Grahamstown, Cape Province (ZA)
Inventor: **Sanderson, Ronald Douglas**
2 Markotter Avenue
Stellenbosch, Cape Province (ZA)

(74) Representative: **Ablewhite, Alan James**
MARKS & CLERK,
57/60 Lincoln's Inn Fields
London WC2A 3LS (GB)

(54) **Solvent extraction of beta-carotene.**

(57) The invention provides a method for the solvent-extraction of β -carotene from an aqueous algal biomass suspension, whereby a vegetable oil which is immiscible with water is mixed with an aqueous biomass suspension, the biomass containing the β -carotene, to form a mixture of the organic phase and the aqueous suspension, whereby the β -carotene is caused to dissolve in the organic phase. This is followed by separation of the organic phase from the aqueous phase by passing the organic phase containing the dissolved β -carotene through a semi-permeable membrane to effect microfiltration or ultrafiltration of the organic phase. The membrane is of a material which is hydrophobic and the organic phase is passed through the membrane with a pressure drop across the membrane which is lower than that which causes the aqueous phase to pass through the membrane.

EP 0 612 725 A1

THIS INVENTION relates to the solvent extraction of oil-soluble organic compounds from aqueous biomass suspensions. More particularly it relates to a method for the solvent extraction of an oil-soluble organic compound from an aqueous biomass suspension, suitable for extracting β -carotene from an aqueous algal biomass suspension.

According to the invention, in the solvent-extraction of β -carotene from an aqueous algal biomass suspension whereby an organic phase in the form of a vegetable oil which is immiscible with water is mixed with a suspension in an aqueous phase of an algal biomass, the biomass containing the β -carotene, to form a mixture of the organic phase and the aqueous phase, whereby the β -carotene is caused to dissolve in the organic phase, followed by separation of the organic phase from the aqueous phase, there is provided the method whereby the separation is effected by passing the organic phase containing the dissolved β -carotene through a semi-permeable membrane to effect microfiltration or ultrafiltration of the organic phase, the membrane being of a material which is hydrophobic and the organic phase being passed through the membrane with a pressure drop across the membrane which is lower than that which causes the aqueous phase to pass through the membrane.

By 'hydrophobic' is meant that the contact angle exhibited by a droplet of water on a surface provided by the material of the membrane is $>90^\circ$, and the contact angle is preferably as high as practicable for the purpose of the method of the present invention, bearing in mind practical and economic considerations.

The aqueous algal biomass suspension may be of halophilic algae of the genus *Dunaliella*. Conveniently the algae may be of the species *Dunaliella salina* and the variety *bardawil*. Examples of other halophilic species of *Dunaliella* are *Dunaliella parva*, *Dunaliella tertiolecta*, *Dunaliella primolecta*, *Dunaliella peircei*, etc. All of these are well known but the presently preferred are high- β -carotene-producing strains of the species *Dunaliella salina*. *Dunaliella salina* is particularly suitable for producing β -carotene by the process according to the invention from saline solutions.

The biomass suspension may be relatively concentrated, the concentration of biomass solids in the suspension, on a dry basis, being at least 0,1 g/l, preferably 0,5 - 100 g/l, typically 5 - 20 g/l, eg 10 g/l. The concentration of β -carotene in the suspension may be 0,1-12 % by mass of the solids, on a dry basis, usually 1-10%, eg 2%.

The aqueous algal biomass suspension may comprise aqueous algal biomass suspended in a saline solution, of the type used to culture the algal biomass. Numerous such solutions are known in the art, an example being that of Ben-Amotz A. and Avron M., Plant Physiology, Vol. 72, 593-597, (1983).

Vegetable oils which can be used include soya-

bean oil, peanut oil and, in particular, sunflower seed oil, although other vegetable oils with similar suitable physical properties may naturally be used instead.

From the foregoing it follows that, in a particular embodiment of the invention, the vegetable oil may be an edible oil selected from soya-bean oil, pea-nut oil and sun-flower oil, the suspension being of biomass of halophilic algae of the genus *Dunaliella* selected from the species *Dunaliella parva*, *D. tertiolecta*, *D. primolecta*, *D. peircei*, *D. salina* and mixtures thereof, the aqueous phase being a saline culture solution in which the biomass is present on a dry basis at a concentration of 50- 20g/l and in which the β -carotene is present at a concentration of 1-10% by mass.

In accordance with the method, the organic phase is preferably thoroughly admixed with the aqueous phase, to form an emulsion with an organic phase droplet size which is as small as practicable, taking practical and economic considerations into account, the proportions of oil and water in the emulsion being optimized by routine experimentation. The suspension may be heated to an extraction temperature of up to 120°C, preferably 50-80°C, eg 60°C, to enhance β -carotene solubility in the oil and to enhance lysis of algal cells in the emulsion, which lysis is typically achieved mechanically, eg by means of a French Press. The heating may take place before or after, but conveniently simultaneously with, the mixing to form the emulsion, and the emulsion may be kept at the extraction temperature for at least 3 mins, preferably at least 5 mins, eg 5 - 10 mins or more, for adequate solvent-extraction of the β -carotene to take place.

In other words, the mixing may be such as to form an emulsion in which the organic phase is discontinuous and the aqueous phase is continuous, the organic phase having an average droplet size of at most 1000 μ m, preferably 100 - 400 μ m, the organic phase:aqueous phase mass ratio being in the range 1:50 - 1:1, preferably 1:35 - 1:15, eg 1:25, and the method including keeping the emulsion at a temperature of 50-80°C for at least 5 minutes before the ultrafiltration takes place.

After the solvent-extraction is sufficiently complete, the microfiltration or ultrafiltration may be carried out, at a temperature of 20-80°C, eg at said elevated extraction temperature which reduces the oil viscosity and facilitates the microfiltration or ultrafiltration, although the microfiltration or ultrafiltration can naturally be carried out after some cooling at a lower temperature, such as 25°C. The pressure drop across the membrane during the filtration may be 5 - 100 kPa, preferably 50-95 kPa, more preferably 80-95, eg 90 kPa, and may be at least 5 kPa below the pressure drop at which breakthrough across the membrane of the aqueous phase takes place, preferably at least 7 kPa, eg 10 kPa below said pressure drop. Thus, in particular, the ultrafiltration may be

carried out with the mixture at a temperature of 50-80°C, at a pressure drop across the membrane of 80-90 kPa, the pressure drop being at least 5 kPa below that which causes the aqueous phase to pass through the membrane.

The flux rate through the membrane of the oil at the pressure in question may be set at 5 - 30 l/m²/hr (LMH), preferably 15 - 25 LMH, and the porosity and the thickness of the membrane will be selected accordingly. Microfiltration covers pore sizes of 0,5 - 50 µm, usually 0,5 - 10 µm, and ultrafiltration covers pore sizes of 0,005- 0,5 µm. The maximum pore size of the membrane in the present invention is preferably 1,0 µm, more preferably 0,1 µm, the membrane thickness being selected by routine experimentation to provide an acceptable flux rate at an acceptable pressure drop across the membrane.

Hydrophobic materials from which the membrane can be made include polysulphones, such as polyether sulphone, polyolefins, such as polypropylene, and polyfluorinated hydrocarbons, such as polytetrafluoroethylene and polyvinylidene fluoride, although membranes made of cellulose ester, polystyrene, polyvinyl butyral, chlorinated polyvinyl chloride, diphenylpropane polycarbonate, poly(methylmethacrylate) or poly(m-phenylene isophthalamide) can in principle be used, to the extent that they are hydrophobic.

It follows that, in a particular embodiment of the invention, the membrane may be selected from polysulphone membranes and polypropylene membranes, having a pore size of at most 0,1 µm, the membrane being selected to provide, at the pressure drop at which the organic phase is passed through the membrane, a flux rate of the organic phase through the membrane of 30-40 l/m²/hr.

If desired, the method may include, before the oil extraction, a saponification step, to saponify chlorophyll in the biomass solution, to reduce chlorophyll contamination of the organic phase. This saponification step may be effected in any suitable way known in the art, eg by using potassium hydroxide. After the separation, the extracted algal biomass may be washed, filtered and dried, to provide a protein-containing by-product. Thus, the biomass may comprise protein and chlorophyll, the method including, prior to the ultrafiltration, a saponification step whereby the chlorophyll in the biomass is saponified, and the method including, after separation of the organic phase from the aqueous phase, separation of the biomass from the aqueous phase, followed by washing the biomass with water and drying of the biomass to form a protein-containing by-product.

If desired, at least a proportion of the filtered organic phase may be recycled to the emulsion and mixed therewith, to increase the concentration of β-carotene dissolved therein, eg to as close as feasible to that of a saturated solution. Furthermore, while the

method will typically be operated batchwise, it can in principle be operated on a continuous or semi-continuous basis, eg in an ultrafiltration unit employing cross-flow and/or employing a cascade. In particular, the method may be carried out on a continuous basis and, after the separation of the organic phase from the aqueous phase, a proportion of at least 10%, preferably 20 - 80%, eg 50%, by mass of the separated organic phase being recycled to the mixture of the organic phase and the aqueous phase, thereby to increase the concentration of the β-carotene dissolved in the organic phase in the mixture.

The invention extends also to a solution of β-carotene dissolved in a vegetable oil, whenever extracted in accordance with a method as described above.

The invention will now be described, by way of illustration, with reference to the following worked Example:

EXAMPLE

Dunaliella salina algae in the aqueous saline solution of Ben-Amotz and Avnon, *supra*, at a concentration of 10 g/l on a dry basis and containing 2% by mass β-carotene based on the dry mass of the solid was solvent-extracted using commercial grade sunflower seed oil. For the extraction the sunflower seed oil was intimately mixed with the algal suspension to form an emulsion at a temperature of 50 - 80°C, ie 60°C.

After an extraction time of 5 - 10 min, ie 10 min, the emulsion was subjected to ultrafiltration, using a hydrophobic polypropylene membrane formed from 1 mm diameter hollow polypropylene fibres, having a cut-off, ie a maximum pore size, of 0,1 µm, so that it retained particles of >0,1 µm particle size. The temperature of the emulsion at which filtration took place was 25°C, and the filtration took place through a membrane having an area of 3,8 x 10⁻² m². The pressure drop across the membrane was in the range 80-90 kPa, and the membrane thickness was such that a flux rate of 25 LMH was achieved. Tests confirmed that water breakthrough took place from the emulsion at a pressure drop across the membrane of > 90 kPa, ie 90 - 100 kPa.

The oil phase, containing the dissolved β-carotene therein, was obtained in a filtered state, ready for use or further concentration or refinement of the β-carotene, if desired. The substantially oil-free aqueous biomass solution, from which the oil had been filtered, was retained by the membrane, in the form of a by-product comprising a protein-containing aqueous suspension from which could be obtained a protein-rich concentrate, by washing, filtering and drying.

An advantage of the invention, particularly as described with reference to the Example, is that it provides a single step filtration, whereby the emulsion

can be separated into sunflower seed oil containing dissolved β -carotene on the one hand, and a substantially oil-free aqueous biomass suspension phase on the other. Further filtration of the separated oil can thus be obviated. The process lends itself to continuous or at least semi-continuous operation, and the proportion of water in the algal biomass suspension is not critical, as both the solvent extraction using the sunflower seed oil and the separation by ultrafiltration can be carried out on relatively dilute suspensions of algal biomass, which can reduce the necessity for any concentration and drying steps required to be carried out on the biomass suspension before the extraction. The risk of oxidation of the β -carotene is reduced, no gravity phase separation of the vegetable oil is necessary, and the filtered oil is substantially free of debris. A further particular advantage of the invention is that pressure drops across the membrane can be employed which are relatively low.

Claims

1. In the solvent-extraction of β -carotene from an aqueous algal biomass suspension whereby an organic phase in the form of a vegetable oil which is immiscible with water is mixed with a suspension in an aqueous phase of an algal biomass, the biomass containing the β -carotene, to form a mixture of the organic phase and the aqueous phase, whereby the β -carotene is caused to dissolve in the organic phase, followed by separation of the organic phase from the aqueous phase, the method characterised in that the separation is effected by passing the organic phase containing the dissolved β -carotene through a semi-permeable membrane to effect microfiltration or ultrafiltration of the organic phase, the membrane being of a material which is hydrophobic and the organic phase being passed through the membrane with a pressure drop across the membrane which is lower than that which causes the aqueous phase to pass through the membrane.
2. A method as claimed in claim 1, characterised in that the vegetable oil is an edible oil selected from soya-bean oil, pea-nut oil and sun-flower oil, the suspension being of biomass of halophilic algae of the genus *Dunaliella* selected from the species *Dunaliella parva*, *D. tertiolecta*, *D. primolecta*, *D. peircei*, *D. salina* and mixtures thereof, the aqueous phase being a saline culture solution in which the biomass is present on a dry basis at a concentration of 50-20g/l and in which the β -carotene is present at a concentration of 1-10% by mass.
3. A method as claimed in any one of the preceding
- claims, characterised in that the mixing is such as to form an emulsion in which the organic phase is discontinuous and the aqueous phase is continuous, the organic phase having an average droplet size of 100 - 400 μ m, the organic phase:aqueous phase mass ratio being in the range 1:50 - 1:1, and the method including keeping the emulsion at a temperature of 50-80°C for at least 5 minutes before the ultrafiltration takes place.
4. A method as claimed in any one of the preceding claims, characterised in that the ultrafiltration is carried out with the mixture at a temperature of 50-80°C, at a pressure drop across the membrane of 80-90 kPa, the pressure drop being at least 5 kPa below that which causes the aqueous phase to pass through the membrane.
5. A method as claimed in any one of the preceding claims, characterised in that the membrane is selected from polysulphone membranes and polypropylene membranes, having a pore size of at most 0.1 μ m, the membrane being selected to provide, at the pressure drop at which the organic phase is passed through the membrane, a flux rate of the organic phase through the membrane of 15-25 l/m²/hr.
6. A method as claimed in any one of the preceding claims, characterised in that the biomass comprises protein and chlorophyll, the method including, prior to the ultrafiltration, a saponification step whereby the chlorophyll in the biomass is saponified, and the method including, after separation of the organic phase from the aqueous phase, separation of the biomass from the aqueous phase, followed by washing the biomass with water and drying of the biomass to form a protein-containing by-product.
7. A method as claimed in any one of the preceding claims, characterised in that the method is carried out on a continuous basis and, after the separation of the organic phase from the aqueous phase, a proportion of 20 - 80% by mass of the separated organic phase being recycled to the mixture of the organic phase and the aqueous phase, thereby to increase the concentration of the β -carotene dissolved in the organic phase in the mixture.
8. A solution of β -carotene dissolved in an vegetable oil, characterised in that it has been extracted in accordance with a method as claimed in any one of the preceding claims.



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number
EP 94 30 0849

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl. 5)
Y	US-A-4 680 314 (A.M. NONOMURA) * the whole document *	1-3	C07C403/24
Y	CHIMICAL ABSTRACTS, vol. 117, no. 9, 31 August 1992, Columbus, Ohio, US; abstract no. 087053, TENG J ET AL 'Method for extracting carotene from Dunaliella' * abstract * & CN-A-1 059 716 (TANGGU GENERAL CO.; PEOP. REP. CHINA) 25 March 1992	1-3	
A	DATABASE WPI Section Ch, Week 8628, Derwent Publications Ltd., London, GB; Class A97, AN 86-178306 & JP-A-61 109 764 (LION CORP) 28 May 1986 * abstract *	1	
The present search report has been drawn up for all claims			TECHNICAL FIELDS SEARCHED (Int. Cl. 5) C07C C12P
Place of search		Date of completion of the search	Examiner
THE HAGUE		11 March 1994	Bonnevalle, E
CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document			

EPF FORM 1200 (01/91) (P04001)

1

2

3